

## **PRIVACY POLICY 2021**

Feldstein Financial Group LLC (“FFG”) and Steven Feldstein & Associates (SF&A”) (collectively the “Company”) may obtain confidential information about you in the process account opening. At the Company, we understand that privacy is an important issue for our customers. It is our policy to respect the privacy of all information that we maintain and to protect the security and confidentiality of non-public personal information relating to those accounts. Please note that this policy applies to former customers as well as current customers.

### **Personal Information Collected**

In order to service your account, information may be provided to a other parties involved in the transaction who collect information from you in order to provide the financial services that you have requested.

The information collected by the Company and provided to other parties may come from the following sources:

**Information received from you, such as your name, address, telephone number, social security number, occupation and income.**

**Information relating to your transactions, including activity.**

**Information which may be received from consumer reporting agencies, such as credit bureau and other information relating to your creditworthiness.**

**Information which may be received from other sources with your consent.**

### **Sharing of Nonpublic Information**

FFG and SF&A does not disclose non-public personal information relating to current or former customers to any third parties, except as required or permitted by law and in order to facilitate the clearing of customer transactions in the ordinary course of business.

### **Security**

FFG and SF&A strives to insure that our systems are secure and that they meet industry standards. We protect personal information that is provided to the Company by you by maintaining physical, electronic and procedural safeguards that either meet or exceed applicable law. Where appropriate, we employ firewalls, encryption technology, user authentication system and access control mechanisms to control access to systems and data. Third parties who have access to such personal information must also agree to follow appropriate standards of security and confidentiality.

### **Opt-out Policy**

If you prefer that we do not share your information (other than as prescribed by law) with non-affiliated third parties, including the registered representative servicing your account when or if he or she leaves to join another broker/dealer, you may contact our Compliance Department at 908-879-9559.